

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Docket Number 04-6174	Application Number 10/767,699
Applicants Thomas J. DALEY, et al.			
Filing Date January 29, 2004		Group Art Unit 3691	

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published
/BKS/		Daisuke Ochiai, "Electronic Finance and Security Trading,"London Stock Exchange Transfers to New Trading System", Capital Market Quarterly, Autumn 1997, Vol. 1, No. 2, Nomura Research Institute, 1 November 1997, pp.102-108.
/BKS/		Japanese Office Action with English translation for Application No. 2006-551588, dated August 31, 2010 (8 pages).
/BKS/		Japanese Office Action with English translation for Application No. 2006-551589, dated August 31, 2010 (7 pages).
		USPTO Notice of Allowance and Fees Due for Application No. 10/767588, September 7, 2010 (3 pages).

Examiner Signature	/Bijendra K. Shrestha/	Date Considered	12/20/2010
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